

# **EXHIBIT A**

CFTC PRIVILEGE LOG  
4/25/2013 (Amended)  
CFTC v. Parnon Energy Inc. et al, Case No. 1:11-cv-3543-WHP (SDNY)

NO.	PRIVILEGE CLAIM	DOCUMENT ID	TYPE OF DOCUMENT	SUBJECT	DATE	AUTHOR	RECIPIENT(S)	EMAIL CC	ATTACHMENT(S)	PRIVILEGE DESCRIPTION
32	Attorney Work Product	Arcadia-LH-0723138	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0001998 - CFTC-0002000	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
33	Attorney Work Product	Arcadia-LH-0834917	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0002034 - CFTC-0002036	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
34	Attorney Work Product	Arcadia-LH-0905839	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0002146 - CFTC-0002148	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
35	Attorney Work Product	Arcadia-LH-1098988	E-MAIL	RE: Parnon Data	7/24/2008	Humenik, Stephen </O=CFTC/OU=WASHINGTON, DC/CN=RECIPIENTS/CN=SHUMENIK>	Park, Chul Woo <cpark@CFTC.gov>		CFTC-0004401; CFTC-0002443 - CFTC-0002443	Communication between DOE attorney and economist in Division of Market Oversight regarding analysis requested by DOE attorney as part of investigation into Defendants' conduct and in anticipation of litigation
36	Attorney Work Product; Relevance	Arcadia-LH-1101506	E-MAIL	RE: Arcadia/Parnon	8/18/2009	Humenik, Stephen	[REDACTED]		Arcadia-LH-1101507; CFTC-0002616 - CFTC-0002617	Communication between attorney and non-testifying expert retained in anticipation of litigation regarding analysis requested by attorney as part of investigation into Defendants' conduct; FRCP 26(b)(4)(D); identity not relevant to claims/defenses
37	Attorney Work Product; Informant	Arcadia-LH-1101507	E-MAIL ATTACHMENT	ATTORNEY WORK PRODUCT NOTES FROM CALL WITH Anonymous Complainant.docx	8/18/2009	SHumenik				Attorney notes regarding interview of anonymous complainant and informant
38	Attorney Work Product	Arcadia-LH-1103123	E-MAIL	RE: Parnon Data	7/24/2008	Humenik, Stephen </O=CFTC/OU=WASHINGTON, DC/CN=RECIPIENTS/CN=SHUMENIK>	Park, Chul Woo <cpark@CFTC.gov>		CFTC-0004402; CFTC-0002640 - CFTC-0002641	Communication between DOE attorney and economist in Division of Market Oversight regarding analysis requested by DOE attorney as part of investigation into Defendants' conduct and in anticipation of litigation
39	Attorney Work Product; Relevance	Arcadia-LH-1105641	E-MAIL	RE: Arcadia/Parnon	8/18/2009	Humenik, Stephen	[REDACTED]		Arcadia-LH-1105642; CFTC-0002813 - CFTC-0002814	Communication between attorney and non-testifying expert retained in anticipation of litigation regarding analysis requested by attorney as part of investigation into Defendants' conduct; FRCP 26(b)(4)(D); identity not relevant to claims/defenses
40	Attorney Work Product; Informant	Arcadia-LH-1105642	E-MAIL ATTACHMENT	ATTORNEY WORK PRODUCT NOTES FROM CALL WITH Anonymous Complainant.docx	8/18/2009	SHumenik				Attorney notes regarding interview of anonymous complainant and informant

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47	Attorney Work Product	Arcadia-LH-1673027	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0003782 - CFTC-0003784	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
48	Attorney Work Product	Arcadia-LH-1673031	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0003785 - CFTC-0003787	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
49	Confidentiality Agreement with Foreign Futures Authority	Arcadia-LH-1674652	E-MAIL	RE: Confidential Law Enforcement Correspondence - Arcadia Petroleum Ltd. (13th of September)	9/2/2010	Dan Turner (Enf) <Dan.TurnerENF@fsa.gov.uk>	Horne, Stephanie <SHorne@CFTC.gov>	Tom Woodhead <Tom.Woodhead@fsa.gov.uk>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Padgett, Elizabeth <epadgett@CFTC.gov>	CFTC-0004159	Communication with staff of foreign regulatory authority regarding arrangements to confirm attendance of defendants' employees at scheduled interviews; confidential by request of FSA and by agreement under IOSCO Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information (MMOU) dated May 2002; 7 USC 12(a)(1)
50	Attorney Work Product	Arcadia-LH-1681614.0001		Anonymous Complainant Notes.docx	8/16/2011	SHumenik			CFTC-004261 - CFTC-0004262	Attorney notes regarding interview of anonymous complainant and informant
51	Attorney Work Product; Relevance	Arcadia-LH-1685642	E-MAIL	Production for Arcadia Deliverable Supply Database	11/3/2010	Diamantis, Jennifer A. </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=JDIAMANTIS>	Peloquin, Michael <MPeloquin@CFTC.gov>		CFTC-0004280 - CFTC-0004330	Attorney communication with IT staff regarding processing of third party production; not relevant to claims/defenses
52	Attorney Work Product	Arcadia-LH-1699916	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0004332 - CFTC-0004334	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011
53	Attorney Work Product	Arcadia-LH-1890604	E-MAIL	RE: Comparison of Terms in Futures and Physical Contracts	3/3/2011	Al-Jurf, Saadeh A </O=CFTC/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=SAIJURF>	Al-Jurf, Saadeh A <SAI-Jurf@CFTC.gov>; Manley, Joan <jmanley@CFTC.gov>; Hayeck, Paul G. <phayeck@CFTC.gov>; Ryall, Christine <cryall@CFTC.gov>; Cusimano, Jeremy <JCusimano@CFTC.gov>; Diamantis, Jennifer A. <JDiamantis@CFTC.gov>; Devoe, Melanie <MDevoe@CFTC.gov>; Vilenskiy, Dmitriy <DVilenskiy@CFTC.gov>		CFTC-0004391 - CFTC-0004393	Email thread among DOE attorneys and staff regarding review and analysis of email from John Wyman of HoustonStreet Exchange Inc. dated 3/3/2011